

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Patent Examining Operations**

Applicant(s): Paul E. Young  
Serial No: 09/954,456 Art Unit: Unassigned  
Filed: 18 September 2001 Examiner: Unassigned  
Title: PROCESS FOR IDENTIFYING ANTI-CANCER THERAPEUTIC  
AGENTS USING CANCER GENE SETS  
Docket No: 689290-76

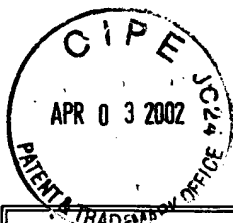
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**Response to Notice to File Missing Parts and Amendment**

Sir:

In response to the Notice to File Missing Parts, dated 11 December 2001,  
Applicant encloses the following for filing:

1. Declaration of Young (executed – 2 sheets);
2. Copy of the Notice Form;
3. Statement under 37 C.F.R. 1.821(f);
4. Sequence Listing in Computer Readable Form (compact disk – 1 copy) plus 2  
copies to replace paper sequence listing;
5. Request for Extension of Time under 37 C.F.R. 1.136(a);
6. Postage paid, return receipt postcard; and
7. Check No. 7101 in the amount of \$265.00 (\$65.00 for surcharge for a small  
entity plus \$200.00 to cover charge for a two month extension of time for a small entity);



**EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EL146098057US

Deposit Date: 3 April 2002

I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above addressed to:

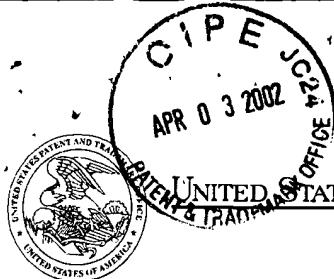
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Alan J. Grant 4/3/02  
Alan J. Grant, Esq. Date

Respectfully submitted,

*Alan J. Grant*

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,456	09/18/2001	Paul Young	689290-76

CONFIRMATION NO. 8167

## FORMALITIES LETTER



\*OC000000007181413\*

CARELLA, BYRNE, BAIN, GILFILLAN,  
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6 Becker Farm Road  
Roseland, NJ 07068

Date Mailed: 12/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE